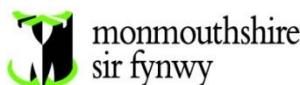


Public Document Pack



Neuadd y Sir
Y Rhadyr
Brynbuga
NP15 1GA

Dydd Gwener, 21 Ionawr 2022

Hysbysiad o gyfarfod

Pwyllgor Craffu Plant a Phobl Ifanc

Dydd Llun, 31ain Ionawr, 2022 at 2.00 pm
Neuadd y Sir, Brynbuga – Cyfarfod o Bell
AGENDA

**BYDD CYFARFOD CYN I AELODAU'R PWYLLGOR 30 COFNODION CYN I'R
CYCHWYN Y CYFARFOD**

| Eitem ddim | Eitem | Tudalennau |
|------------|---|------------|
| 1. | Ymddiheuriadau am absenoldeb | |
| 2. | Datganiadau o Fuddiant | |
| 3. | Craffu ar y Gyllideb: Craffu ar gynigion y gyllideb ar gyfer 2022/23 Defnyddiwch y ddolen hon i gael mynediad i'r papurau ar gyfer yr eitem hon - ar gael fel rhan o Agenda'r Cabinet y 19 ^{eg} Ionawr 2022 . https://democracy.monmouthshire.gov.uk/ieListDocuments.aspx?CId=144&MId=4674 | 1 - 64 |
| 4. | Gwasanaeth Troseddau Ieuencid To provide a report on the aims and objectives of the service and to discuss the outcomes for children and young people and the impact of the service. | |
| 5. | Cadarnhau dyddiad ac amser y cyfarfod nesaf: 3ydd Mawrth 2022 | |

Paul Matthews

Prif Weithredwr

CYNGOR SIR FYNWY

MAE CYFANSODDIAD Y PWYLLGOR FEL SY'N DILYN:

Cyngorwyr Sir:

L.Brown
C.Edwards
M.Groucott
D. Jones
M.Lane
M. Powell
T.Thomas
J.Watkins
P. Murphy

Gwybodaeth Gyhoeddus

Mynediad i gopiau papur o agendâu ac adroddiadau

Gellir darparu copi o'r agenda hwn ac adroddiadau perthnasol i aelodau'r cyhoedd sy'n mynchu cyfarfod drwy ofyn am gopi gan Gwasanaethau Democraidd ar 01633 644219. Dylid nodi fod yn rhaid i ni dderbyn 24 awr o hysbysiad cyn y cyfarfod er mwyn darparu copi caled o'r agenda hwn i chi.

Edrych ar y cyfarfod ar-lein

Gellir gweld y cyfarfod ar-lein yn fyw neu'n dilyn y cyfarfod drwy fynd i www.monmouthshire.gov.uk neu drwy ymweld â'n tudalen Youtube drwy chwilio am MonmouthshireCC. Drwy fynd i mewn i'r ystafell gyfarfod, fel aelod o'r cyhoedd neu i gymryd rhan yn y cyfarfod, rydych yn caniatáu i gael eich ffilmio ac i ddefnydd posibl y delweddau a'r recordiadau sain hynny gan y Cyngor.

Y Gymraeg

Mae'r Cyngor yn croesawu cyfraniadau gan aelodau'r cyhoedd drwy gyfrwng y Gymraeg neu'r Saesneg. Gofynnwn gyda dyledus barch i chi roi 5 diwrnod o hysbysiad cyn y cyfarfod os dymunwch siarad yn Gymraeg fel y gallwn ddarparu ar gyfer eich anghenion.

Nodau a Gwerthoedd Cyngor Sir Fynwy

Cymunedau Cynaliadwy a Chryf

Canlyniadau y gweithiwn i'w cyflawni

Neb yn cael ei adael ar ôl

- Gall pobl hŷn fyw bywyd da
- Pobl â mynediad i dai addas a fforddiadwy
- Pobl â mynediad a symudedd da

Pobl yn hyderus, galluog ac yn cymryd rhan

- Camddefnyddio alcohol a chyffuriau ddim yn effeithio ar fywydau pobl
- Teuluoedd yn cael eu cefnogi
- Pobl yn teimlo'n ddiogel

Ein sir yn ffynnu

- Busnes a menter
- Pobl â mynediad i ddysgu ymarferol a hyblyg
- Pobl yn diogelu ac yn cyfoethogi'r amgylchedd

Ein blaenoriaethau

- Ysgolion
- Diogelu pobl agored i niwed
- Cefnogi busnes a chreu swyddi
- Cynnal gwasanaethau sy'n hygrych yn lleol

Ein gwerthoedd

- **Bod yn agored:** anelwn fod yn agored ac onest i ddatblygu perthnasoedd ymddiriedus
- **Tegwch:** anelwn ddarparu dewis teg, cyfleoedd a phrofiadau a dod yn sefydliad a adeiladwyd ar barch un at y llall.
- **Hyblygrwydd:** anelwn fod yn hyblyg yn ein syniadau a'n gweithredoedd i ddod yn sefydliad effeithlon ac effeithiol.
- **Gwaith tîm:** anelwn gydweithio i rannu ein llwyddiannau a'n methiannau drwy adeiladu ar ein cryfderau a chefnogi ein gilydd i gyflawni ein nodau.
- **Caredigrwydd** – Byddwn yn dangos caredigrwydd i bawb yr ydym yn gweithio gyda nhw, gan roi pwysigrwydd perthnasoedd a'r cysylltiadau sydd gennym â'n gilydd wrth wraidd pob rhwngweithio.

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Agenda Item 3

| Ref | Children & Young People | Draft Pressure £000 | Draft Saving £000 |
|--------------------------|---|----------------------------|--------------------------|
| CYP1 | Non pay - SENcom contract inflation | 37 | |
| CYP2 | Additional learning needs - Independent placements | 277 | |
| CYP2 | Additional learning needs - Out of County placements | 138 | |
| CYP2 | Additional learning needs - School action plus | 298 | |
| CYP2 | Additional learning needs - Shortfall in recoupment income | 244 | |
| CYP2 | ALN related transport | 15 | |
| CYP3 | Monmouth Comprehensive rates | 29 | |
| SCH1 | Children's services - high cost residential placements | 500 | |
| SCH1 | Children's services - kinship carers rate increase in line with legislation | 272 | |
| SCH1 | Children's services - legal fees relating to court proceedings | 238 | |
| SCH1 | Children's services - agency staffing increase | 295 | |
| SCH8 | Budget provision and contingency to support fragility in care sector | 1,903 | |
| ENT2 | PTU staff and contracts | 306 | |
| ENT6 | Schools catering income | 72 | |
| ENT6 | Non pay - Catering contract | 68 | |
| CYP Select Totals | | 4,692 | |

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Budget Pressures

| | |
|-----------------------------|--|
| <u>CYP1</u> | Non pay - SENcom contract inflation |
| <u>CYP2</u> | Additional learning needs |
| <u>CYP3</u> | Monmouth Comprehensive rates |
| <u>SCH1</u> | Children's services |
| <u>SCH8</u> | Budget provision and contingency to support fragility in care sector |
| <u>ENT2</u> | PTU staff and contracts |
| <u>ENT6</u> | Schools catering income |

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|--------------------------------|-----------------------------|-------------|
| Proposal Title | SENCOM | Senior Responsible Officer: | Will McLean |
| Your Ref No: | CYP1 | Operational Lead Officer: | Jacky Elias |
| Version No: | 1 | Directorate: | CYP |
| Date: | 12 th November 2021 | Section: | ALN |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

- 1. Proposal Scope and Description** Please include a brief description of the proposal being explored and the core objectives.

SENCOM is a shared service for Hearing and Visually impaired pupils and it is hosted by Torfaen. In recent years the contribution paid by local authorities has not covered the full costs of inflation for the service.

- 2. Supporting Data and Evidence:** Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

Accounts and information from Torfaen. Discussions with directors and finance managers.

- 3. Budget Impact** In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Identified Service Area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|-------------------------|----------------------|------------------------------|----------------------------|-------------|-------------|-------------|-------------|------------------------------------|
| | | | | 21/22 £'000 | 22/23 £'000 | 23/24 £'000 | 24/25 £'000 | |
| SENCOM | 285 | 37 | 0 | 37 | | | | 37 |
| | | | | | | | | |
| | | | | | | | | |

4. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified?

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--------------------|--------|--|
| Nil | | |

5. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Does this proposal align with the MCC Corporate Plan? | Y | |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | N | |
| Will an option appraisal be required? | N | |
| Will this proposal require any amendments to MCC policy? | N | |

6. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is effected? | Is this impact positive or negative? |
|-------------|------------------|--------------------------------------|
| None | | |
| | | |
| | | |

7. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

For future years the contribution will include inflation.

8. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal have any staffing implications? | N | |
| Will this project have any legal implication for the authority? | N | |

9. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| | | |
| | | |
| | | |

10. Consultation

Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|------------------|-----------------------------|--------------------------|
| Chief Officers | Meeting to discuss service | Sept 2021 |
| Finance Managers | Meetings to discuss service | Sept 2021 |
| | | |
| | | |

11. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/ Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|-----------------|------------------------|----------------------------------|---|--------------------|
| | | | | |
| | | | | |
| | | | | |

12. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|------------|--|----------------|
| | | |
| | | |
| | | |

13. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, Staff and Customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2021/22 | Target 2022/23 | Target 2023/24 | Target 2024/25 |
|---------------------------------------|-----------|----------------|----------------|----------------|----------------|
| | | | | | |
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| | | | | | |

14. Additional considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal require procurement of goods, services or works? | N | |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |
| Will this project benefit from digital intervention? | N | |

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|---------------------------|-----------------------------|-------------|
| Proposal Title | Additional Learning Needs | Senior Responsible Officer: | Will McLean |
| Your Ref No: | CYP2 | Operational Lead Officer: | Jacky Elias |
| Version No: | 0.1 | Directorate: | CYP |
| Date: | 10-11-2021 | Section: | ALN |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

15. Proposal Scope and Description Please include a brief description of the proposal being explored and the core objectives.

The budget for Additional Learning Needs has seen a substantial pressure in demand for support for pupils. This demand includes requests to support pupils from an early age and pupils continuing their education into post 16.

The pressure are detailed below:

| | 2021-22 Budget | 2022-23 | Pressure |
|--------------------|-------------------|-------------------|-----------------|
| Independents | £1,849,551 | £2,126,244 | £276,693 |
| Out of County | £1,381,403 | £1,519,780 | £138,377 |
| Recoupment | (£945,699) | (£701,757) | £243,942 |
| School Action Plus | £5,439,632 | £5,737,634 | £298,002 |
| Total | £7,724,887 | £8,681,899 | £957,017 |

None of the above budgets include inflation which could be as high as 5%.

The pressure for independent schools is a combination of increases in placement costs and the number of pupils attending these settings. While the majority relates to compulsory years there are a number of pupils that have remained in post 16 education.

Placement costs for the other Local Authority schools have also increased and again this pressure relates to both pupil numbers and an increase in costs. As with the independent provision a number of these pupils are remaining in school for post 16 education.

ALN colleagues have worked with Monmouthshire schools to look at the resources available and the provision, examples of this is a small group provision as opposed to one to one support where appropriate. School are already required to use 5% of their delegated budgets to support pupils with additional learning needs and this pressure is in addition to this.

ALN related transport is also facing a pressure of £14,892 due to an expected increase in direct recharge for transport costs due to increased cost of provision.

16. Supporting Data and Evidence: Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

The pupil numbers are discussed monthly ate DMT, increase in placement costs are circulated to be relevant colleagues to review and build into future forecasts. In addition a clear forecasting model has been developed and this has been used to forecast the budgets above.

Currently there is a working group looking at the School Action Plus budget with an aim to delegate this to schools to allow them more flexibility to support pupils with ALN. This is due to go out for consultation with the aim to gain Cabinet approval prior to implementation in April 2022.

17. Budget Impact In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Identified Service Area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|-------------------------|----------------------|------------------------------|----------------------------|-------------|-------------|-------------|-------------|------------------------------------|
| | | | | 21/22 £'000 | 22/23 £'000 | 23/24 £'000 | 24/25 £'000 | |
| Independents | 1,850 | 277 | 0 | | 277 | | | 277 |
| Out of County | 1,381 | 138 | 0 | | 138 | | | 138 |
| Recoupment | (946) | 244 | 0 | | 244 | | | 244 |
| School action Plus | 5,440 | 298 | | | 298 | | | 298 |
| ALN related transport | | 15 | | | 15 | | | 15 |

18. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified? N/A

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--------------------|--------|--|
| | | |
| | | |

19. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Does this proposal align with the MCC Corporate Plan ? | Y | |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | N | |
| Will an option appraisal be required? | N | |
| Will this proposal require any amendments to MCC policy? | N | |

20. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is effected? | Is this impact positive or negative? |
|-------------|------------------|--------------------------------------|
| | | |
| | | |
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21. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

All applications for additional funding in our schools are subject to a panel review to discuss the costs and any alternative savings that can be made to reduce the cost burden.

The panel also considers the placement for pupils attending out of other LA schools and independent schools. This is to ensure that the placements can meet the need of the pupils and to consider any costs involved.

The proposal to delegate the school action plus funding will allow the schools to have more flexibility around the support and funding for pupils and this will allow schools to better support the needs of the pupils.

22. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|---|
| Will this proposal have any staffing implications? | Y | More staff could be employed to support pupils. |
| Will this project have any legal implication for the authority? | N | |

23. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| None | | |
| | | |
| | | |

24. Consultation Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|-----------|-------------|--------------------------|
| None | | |
| | | |
| | | |
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| | | |

25. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|---------------------------------------|-----------------------|----------------------------------|---|---|
| Risk costs will continue to increase. | Operational | Budget pressure in recent years | high | Challenge of budget pressures. Working with schools to maintain support in schools. Train and up skill staff to provide that support. Working with schools to look at greater delegation to give flexibility and stability. |
| | | | | |
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| | | | | |

26. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|-----------------|---|----------------|
| Pupil Numbers | This assumes that the pupil numbers in the forecast model do not change significantly with pupils moving in or out of county. | |
| Placement costs | No increase for inflation has been factored in and this could be 5%. | |

27. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, Staff and Customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2021/22 | Target 2022/23 | Target 2023/24 | Target 2024/25 |
|---------------------------------------|-----------|----------------|----------------|----------------|----------------|
| | | | | | |
| | | | | | |
| | | | | | |
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28. Additional considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal require procurement of goods, services or works? | N | |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |

| | | |
|--|---|--|
| Will this project benefit from digital intervention? | N | |
|--|---|--|

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|---|-----------------------------|------------------|
| Proposal Title | Rates for Monmouth Comprehensive School | Senior Responsible Officer: | Will McLean |
| Your Ref No: | CYP3 | Operational Lead Officer: | Nikki Wellington |
| Version No: | 1 | Directorate: | CYP |
| Date: | 07-12-2021 | Section: | ISB |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

29. Proposal Scope and Description Please include a brief description of the proposal being explored and the core objectives.

Monmouth Comprehensive School is a brand new build school which was built under the Band A future schools programme from Welsh Government. The school opened in September 2018. The final rates assessment was not received for the school until the current financial year, this had been complicated as during the build there was a period of time that there were a number of temporary building on the site which actually resulted in a lower assessment for rates. The assessment for rates for the new build is £299,600 with the previous school being £143,920. During 2019-20 a pressure of £85,000 was added to the budget but this has been exceeded with the current valuation.

30. Supporting Data and Evidence: Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

Rates assessment for the previous and current school.

31. Budget Impact In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Identified Service Area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|-------------------------|----------------------|------------------------------|----------------------------|-------------|-------------|-------------|-------------|------------------------------------|
| | | | | 21/22 £'000 | 22/23 £'000 | 23/24 £'000 | 24/25 £'000 | |
| Rates | 271 | 29 | | | 29 | | | 29 |
| | | | | | | | | |
| | | | | | | | | |

32. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified?

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--------------------|--------|--|
| N/A | | |

33. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Does this proposal align with the MCC Corporate Plan ? | Y | |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | N | |
| Will an option appraisal be required? | N | |
| Will this proposal require any amendments to MCC policy? | N | |

34. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is effected? | Is this impact positive or negative? |
|-------------|------------------|--------------------------------------|
| N/A | | |
| | | |

35. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

None

36. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal have any staffing implications? | N | |
| Will this project have any legal implication for the authority? | N | |

37. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| N/A | | |
| | | |
| | | |
| | | |

38. Consultation Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|-----------|-------------|--------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

39. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/ Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|-----------------|------------------------|----------------------------------|---|--------------------|
| N/A | | | | |
| | | | | |
| | | | | |

40. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|------------|--|----------------|
| | | |

| | | |
|-----|--|--|
| N/A | | |
| | | |
| | | |

41. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, Staff and Customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2021/22 | Target 2022/23 | Target 2023/24 | Target 2024/25 |
|--|-----------|-------------------|-------------------|-------------------|-------------------|
| N/A | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

42. Additional considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal require procurement of goods, services or works? | N | |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |
| Will this project benefit from digital intervention? | N | |

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|-------------------------------|-----------------------------|---------------------|
| Proposal Title | Children's Services Pressures | Senior Responsible Officer: | Jane Rodgers |
| Your Ref No: | SCH1 - Children's Services | Operational Lead Officer: | Jane Rodgers |
| Version No: | 1 | Directorate: | SCH |
| Date: | 3 rd Nov 2021 | Section: | Children's Services |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

43. Proposal Scope and Description Please include a brief description of the proposal being explored and the core objectives.

A pressure of £1,305,000 within children's services is being proposed comprising:

Placements: £500,000
Kinship Carers: £272,000
Legal Fees: £238,000
Agency Staffing £295,000

44. Supporting Data and Evidence: Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

PLACEMENTS

There has been a slight decrease in overall numbers for Children Looked After. To date the majority of children leaving care have done so from less costly placements. At the same time, children entering care have required more costly placements due to the complex nature of their needs. In the current year to date 6 children have entered residential care, creating a pressure within the system of £500,000.

KINSHIP CARERS

A recent legal case in another Local Authority has resulted in the requirement to pay foster kinship carers on an equal footing to generic foster carers. This has created a pressure of £272,000 base on the current cohort of kinship carers.

LEGAL FEES

The cost of legal fees and expenses, including barrister costs remains a significant pressure for children's services at £238,000. This includes a previous budget mandate saving of £100,000 which was not achieved.

AGENCY STAFFING

Increased referrals and volume of preventative cases within children's services, together with maternity and sickness leave have created staffing pressures. Added to this is a national shortage of qualified child-care social workers meaning that there are often delays in being able to recruit to vacancies that arise through normal staff turn-over. In order to ensure that the LA's statutory responsibilities are fulfilled agency social workers are employed. These factors have created a pressure of £295,000

45. Budget Impact In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Service area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|---------------------|----------------------|------------------------------|----------------------------|-------------|-------------|-------------|-------------|------------------------------------|
| | | | | 22/23 £'000 | 23/24 £'000 | 24/25 £'000 | 25/26 £'000 | |
| Children's Services | £16,500 | £1,305 | | £1,305 | | | | £1,305 |
| | | | | | | | | |
| | | | | | | | | |

46. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified?

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--------------------|--------|--|
| | | |
| | | |

47. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|---|
| Does this proposal align with the MCC Corporate Plan ? | Y | Best possible start in life Lifelong wellbeing |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | Y | |
| Will an option appraisal be required? | Y | |
| Will this proposal require any amendments to MCC policy? | N | |

48. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is effected? | Is this impact positive or negative? |
|---|-----------------------|--------------------------------------|
| Provision of placements that meet need children's needs | Children and families | positive |
| Kinship carers are paid at the required level | Children and families | positive |
| Costs of care proceedings are met | Children and families | positive |
| Permanent workforce establishment is aligned with need and additional workforce resilience is created | Children and families | positive |

49. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

PLACEMENTS

Further expansion of MyST to deliver step down from residential services
Implementation of the fostering recruitment strategy under the auspices of Foster Wales
On-going development of preventative services

KINSHIP CARERS

Further work to convert kinship carers to special guardians where this is safe to do so
Further work to increase SGO as outcome from Care proceedings

LEGAL

On-going implementation of preventative approaches to divert from care proceedings
Embedding DPS for the procurement of independent assessments within care proceedings

WORKFORCE

Review of establishment to reduce agency usage where directly employing under Monmouthshire County Council would be better value for money, and to ensure sufficiency within the workforce to meet demand and remain resilient.

50. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|--|
| Will this proposal have any staffing implications? | Y | Recruitment to MCC staff rather than agency |
| Will this project have any legal implication for the authority? | Y | Will ensure that the LA meets its statutory responsibilities for children looked after, safeguarding and prevention under the SSWBA Wales 2014 |

51. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| | | |
| | | |
| | | |

52. Consultation Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|-----------|-------------|--------------------------|
| | | |
| | | |
| | | |
| | | |

53. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/ Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|-----------------|---------------------------|-------------------------------------|--|--------------------|
| | | | | |

| | | | | |
|--|----|--|--------|---|
| The underlying upward trend within continues or accelerates | Op | Data shows a recent decline but an underlying upward trend. | MEDIUM | Well developed preventative services are in place Foster Wales is launched to drive forward recruitment of foster carers |
| A new cohort of children with complex needs continue to require placements | Op | There are at least 6 children with complex and escalating needs whom we are attempting to maintain within current placements through intensive support and increased support plans | HIGH | MyST is in place to increase opportunities for step down and develop specialist fostering provision |
| A permanent workforce at the required level cannot be achieved | Op | There is a nation shortage of child care social workers | MEDIUM | Recruitment strategy and workforce planning is embedded within the operations of the service |

54. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|------------|--|----------------|
| | | |
| | | |
| | | |

55. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, staff and customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2020/21 | Target 2021/22 | Target 2022/23 | Target 2023/24 |
|---------------------------------------|---|----------------|----------------|----------------|----------------|
| Customer | Numbers of children looked after and distribution of placements | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

56. Additional considerations:

| Question | Y/N | Comments/Impact |
|----------|-----|-----------------|
| | | |

| | | |
|---|---|---------|
| Will this proposal require procurement of goods, services or works? | N | Not new |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |
| Will this project benefit from digital intervention? | N | |

Full Cost budget adjustment explanations

In addition to specific service pressure and savings mandates, the budget has the potential to also move year on year due to corporate changes. The following briefing note provides details of those revisions.

| | | |
|------|--|-------|
| SCH8 | Budget provision and contingency to support fragility in care sector | 1,903 |
|------|--|-------|

Welsh Government have confirmed that the settlement includes funding to enable authorities to meet the additional costs of starting to pay the Real Living Wage (RLW) of £9.90 an hour to social care workers from April 2022. The Council already commits to paying its paid workforce at the RLW. For commissioned care it is anticipated that market providers are already having to pay staff above RLW and in light of the well publicised challenges with recruitment and retention and at a time when Welsh Government is removing the funding support through its COVID hardship fund. The funding included in the settlement remains unhypothecated within the settlement and therefore assists the Council in making further budget provision and contingency that allow the Council to suitably respond to challenges brought about by the fragility of the care sector and the current levels of unmet need.

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|---|-----------------------------|---------------------|
| Proposal Title | Passenger Transport Unit – Service budget pressures | Senior Responsible Officer: | Frances O'Brien |
| Your Ref No: | ENT2 | Operational Lead Officer: | Deb Hill-Howells |
| Version No: | 2 | Directorate: | ENT |
| Date: | 14.12.21 | Section: | Passenger Transport |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

57. Proposal Scope and Description Please include a brief description of the proposal being explored and the core objectives.

Passenger Transport – Service Demand Pressures £306k

The Passenger Transport Service is responsible for the provision of Home to School transport, our community demand responsive service, Grass Routes and 3 scheduled services that are not commercially viable. The Service manages 192 external home to school contracts and undertakes 34 school runs, transporting up to 2,916 passengers daily (up from 2,667 in 2020). The demand on the service has increased in recent years resulting in a projected pressure on the base budget of £306k for 22-23.

58. Supporting Data and Evidence: Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

There are varied reasons for the fluctuations in expenditure and income but the budget pressure can be attribute to 3 main areas :-

| | Indicative Budget 22-23 | Projected service cost 22-23 | Budget Pressure |
|------------------------------------|-------------------------|------------------------------|-----------------|
| | £ | £ | £ |
| Internal Operations Employee Costs | 1,561,085 | 1,619,476 | 58,391 |
| Internal Operations Vehicle Costs | 855,340 | 890,000 | 34,660 |
| External Operators Costs | 3,441,709 | 3,654,618 | 212,909 |
| Total Service Pressure | | | 305,960 |

Internal Operations Employee Costs

- The cost of the in-house operations arm has increased this year as we have had to increase the workforce to deal with increasing school runs due to external contractor hand backs. This is expected to impact on next year's budget by £58k.

Internal Operations Vehicle Costs

- Vehicle costs are projected to be above available budget in 22-23 by £35k, the pressure includes inflation for fuel and spare parts but it also includes increases in borrowing costs for replacement vehicles and the costs of maintaining a larger service.

External Operator Costs

- External operator costs are projected to increase by £213k. The increase in passenger numbers results in the need for additional contracts, this not only causes a cost pressure, but also reduces our ability to generate an income through concessionary and Post 16 passengers (107 in 2021, 149 in 2020). The majority of the contracts are due to be re-tendered next summer and we anticipate that rates will increase due to fuel and manpower inflation, we have built in a £100k contingency into the pressure to cover this but members need to be aware that we won't know the outcome of this exercise until September 2023 and there is a risk that actual pricing could exceed this.

Other factors contributing to net pressure :-

- BES ramp up funding will cease in July 2022, which will result in a loss of grant funding, albeit that the services will continue to be provided.
- Changes to legislation have resulted in a reduction in our ability to generate private hire income and moving forward we will no longer be able to undertake any work for third parties that does not fall within the permitted rules of S19 and S22 permits, this has impacted on external body income but we are projecting to increase our internal income base in 22-23 as school trips and activities re-commence following the pandemic.

59. Budget Impact In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Service area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|---------------------|----------------------|------------------------------|----------------------------|---------------|---------------|---------------|---------------|------------------------------------|
| | | | | 2022/23 £'000 | 2023/24 £'000 | 2024/25 £'000 | 2025/26 £'000 | |
| Passenger Transport | 5,003 | 306 | | 306 | | | | 306 |
| Total | 5,003 | 306 | | 306 | | | | 306 |

60. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified?

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--|------------------|--|
| We are seeking grant funding to support the replacement of vehicles with ULEV alternatives | Welsh Government | Awaiting details of the funding available and the financial viability of business cases. |

61. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|--|
| Does this proposal align with the MCC Corporate Plan ? | Y | Providing home to school transport to enable young people to access learning. Providing community demand responsive and public bus routes to provide transport for community members that do not have access to a car. |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | N | |
| Will an option appraisal be required? | N | |
| Will this proposal require any amendments to MCC policy? | N | It is proposed that a review of the Council's current home to school transport policy is undertaken post May 2022. |

62. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is affected? | Is this impact positive or negative? |
|--|---|--|
| It will enable the service to be undertaken in a cost neutral manner and manage external | Users of the home to school and Grass routes services, external | The funding of the pressure will be largely neutral, however the |

| | | |
|---|--|--|
| pressures arising from increased operator costs, changing passenger numbers and the loss of grant as well as internal pressures from increased fuel, vehicle and staff costs. | operators, and internal service providers. | replacement of vehicles with ULEV alternatives will be positive. |
| | | |
| | | |

63. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

Opportunities to generate income in alignment with our permits will be sought and it is forecast that this will increase from the current year's budget target.

We will continue to identify grant funding opportunities for replacement fleet and to mitigate operating costs.

The PTU operations team is being re-structured to align working patterns with service demands.

64. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|----------------------------|
| Will this proposal have any staffing implications? | N | If the pressure is agreed. |
| Will this project have any legal implication for the authority? | N | |

65. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| | | |
| | | |
| | | |
| | | |

66. Consultation Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|-----------|-------------|--------------------------|
| | | |
| | | |
| | | |
| | | |

67. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/ Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|-----------------|------------------------|----------------------------------|---|--------------------|
| | | | | |

| | | | | |
|--|-------------|--|--------|--|
| When tenders are undertaken next summer, external operator costs will be higher than forecast. | Operational | Running costs continue to increase which operators will seek to recover. | High | When tenders are undertaken, PTU operations are asked to provide the costs for them operating the contract. This gives us a baseline figure and if the tendered costs are higher, the PTU will be asked to undertake the route, subject to capacity and resources. |
| Income projections are lower than forecast | Operational | School activities are slowly resuming following the pandemic | Medium | Colleagues are working with schools and ensuring all covid mitigation measures are in place. |
| Fuel and vehicle costs continue to escalate | Operational | The costs of fuel have seen a significant rise in the last few months | Medium | Conversion to electric & hydrogen fuelled vehicles will assist in the longer term. Reducing journeys and maximising the use of bulk purchasing. |

68. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|---|---|----------------|
| Statutory passenger numbers remain constant or increase | Passenger transport data evidences an increase in demand for statutory home to school transport | CYP/PTU |
| | | |
| | | |

69. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, staff, and customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2022/23 | Target 2023/24 | Target 2024/25 | Target 2025/26 |
|---------------------------------------|--|----------------|----------------|----------------|----------------|
| Budgets | The service is delivered within budget | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

70. Additional considerations:

| Question | Y/N | Comments/Impact |
|--|-----|-----------------|
| Will this proposal require procurement of goods, services, or works? | N | |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |
| Will this project benefit from digital intervention? | N | |

2022/23 Initial Saving and Pressure Proposal Form

The Senior Responsible Officer (SRO) for the proposal should complete forms

| | | | |
|----------------|--|-----------------------------|------------------|
| Proposal Title | Schools Catering – Operational Pressures | Senior Responsible Officer: | Frances O'Brien |
| Your Ref No: | ENT6 | Operational Lead Officer: | Deb Hill Howells |
| Version No: | 1 | Directorate: | ENT |
| Date: | 12.11.21 | Section: | Schools Catering |

Note: The Senior Responsible Officer is expected to be a Chief Officer or Head of Service in most circumstances. The operational lead officer is the lead officer responsible for bringing the proposal together and who would ultimately be held accountable for operational delivery.

71. Proposal Scope and Description Please include a brief description of the proposal being explored and the core objectives.

Schools Catering are anticipating a budget pressure in 22-23, this is due to 2 main issues :-

- Reduction in Paid Meal uptake (£71,553)** – Turnover is expected to be down due to a reduction in paid meals, this is attributed to an increase in Free School Meal eligibility and an increase in pupils choosing to have a packed lunch. The impact of Covid is a major factor in the drop off in paid meals, the limited menu affected uptake and we anticipate it will take some time for meal numbers to return to pre-pandemic levels. Our estimates have been based on a 7.5% reduction on 19-20 meal numbers. We have however now reverted back to a full-menu and take up is increasing in line with expectations.
- Ingredient Price increase (£67,637)** – Early estimates predict a 20% uplift in material prices for next year.

The service will not be increasing the price of school meals this year and so will remain at £2.50. This price hold will affect the ability to recover an element of the operational pressures above.

72. Supporting Data and Evidence: Please confirm supporting evidence for the identified saving and/or pressure. Or to discount any saving being available. Append any further information as necessary.

1. School Meal Income Reduction.

| | £ |
|--------------------------------------|---------------|
| Meal Income Received 2019-20 | - 954,034 |
| Estimated 22-23 Income @ 92.5% | - 882,481 |
| Estimated Reduction in Income | 71,553 |
| | |

2. Ingredient Price Increase

| | £ |
|------------------------------------|----------------|
| Number of Meals Served 2019-20 | 478,948 |
| Assume 7.5% Reduction for 2022-23 | 443,027 |
| Cost Per Meal including 20% uplift | 1.20 |
| Estimated Total Cost 22-23 | 531,632 |
| Available Budget 22-23 | 463,995 |
| Variance to Budget | 67,637 |
| | |



73. Budget Impact In this section please include the savings and pressures identified and the overall budget impact resulting from this proposal. This must cover each year implicated.

| Service area | Current Budget £'000 | Proposed Cash Pressure £'000 | Proposed Cash Saving £'000 | Target year | | | | Total Budget Change Proposed £'000 |
|-------------------------------|----------------------|------------------------------|----------------------------|---------------|---------------|---------------|---------------|------------------------------------|
| | | | | 2022/23 £'000 | 2023/24 £'000 | 2024/25 £'000 | 2025/26 £'000 | |
| Catering Income Pressure | | 72 | | 72 | | | | 72 |
| Catering Expenditure Pressure | | 68 | | 68 | | | | 68 |
| Total | | 140 | | 140 | | | | 140 |

74. External Funding: Has this proposal considered the opportunities for external funding? If yes, what funding avenues have been identified?

| Funding Identified | Source | Current status (i.e. confirmed, in application, etc) |
|--------------------|--------|--|
| N/A | | |
| | | |

75. Corporate Alignment: How does this proposal contribute and align with the current Corporate Plan objectives and have the relevant evaluations been considered and completed? Please consider any implications this proposal may have on our current policies.

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Does this proposal align with the MCC Corporate Plan ? | Y | |
| Has an initial Wellbeing & Future Generation Assessment being undertaken? | N | |
| Will an option appraisal be required? | N | |
| Will this proposal require any amendments to MCC policy? | N | |

76. Additional Impacts What are the expected impacts of implementing this proposal? Please include the potential impact on other service areas

| Description | Who is effected? | Is this impact positive or negative? |
|-------------|------------------|--------------------------------------|
| None | | |
| | | |

77. Mitigation (for budget pressures only) – What mitigation has been identified to reduce the budget pressure proposed? What further steps could be taken to mitigate the pressure further and what are the consequences of this action?

Meal prices could be increased to counteract inflationary increases. A 10p increase in meal price would bring in circa £40,000 in extra income, although any price increases will affect uptake and may place additional financial burdens on households.

78. Additional Considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal have any staffing implications? | N | |
| Will this project have any legal implication for the authority? | N | |

79. Up-front Investment Requirement

Describe any additional skills, resource and capability needed in order to carry out the proposal successfully. For example, new/additional expertise that will require additional investment etc.

| Any additional capability required | Where will this come from | Any other resource/ business need (non-financial) |
|------------------------------------|---------------------------|---|
| N/A | | |
| | | |
| | | |

80. Consultation Describe any initial consultation that has been or needs to be undertaken in order to inform this proposal and any further consultation that will be required throughout proposal delivery

| Consultee | Description | Date (delivered/planned) |
|-----------|-------------|--------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

81. Key Risks and Issues

Are there any potential barriers and risks that will need to be managed in delivering the outcomes expected from investing in or recognising the pressure identified, including any negative impacts identified in section 6 that need to be accounted for. Also, set out the steps that will be taken to mitigate these risks.

| Barrier or Risk | Strategic/ Operational | Reason why identified (evidence) | Risk Level (High, Medium or Low) Based on a score assessing the probability & impact | Mitigating Actions |
|--|------------------------|---|---|---|
| The uptake of school meals does not increase in line with expectations | Operational | The changes to the operation of the service during the pandemic have had a negative impact on take up and families who have now started providing packed lunch, may consider this | Medium | The service is marketing its service and menu's. All meals are scratch cooked on a daily basis and comply with the healthy eating agenda. |

| | | | | |
|---|-------------|---|--------|--|
| | | a more suitable and affordable alternative, so pre-pandemic levels are not achieved. | | |
| The supply chain continues to struggle to meet commitments and material costs escalate | Operational | We have already experienced problems with supplies which is impacting on menus and has required centralised delivery with supplies then being disaggregated and delivered to venues by non catering and catering staff. | Medium | We are working with suppliers to mitigate the problems in the supply chain including lack of drivers and raw materials. We are developing alternative solutions in the event of shortages of specific products |
| Proposed changes to free school meal entitlement will increase the need for supplies and increase capital and revenue expenditure | Operational | WG have advised that they will be making a statement in December regarding increasing access to fsms. | High | Until the announcement is made we are unable to mitigate the risk as we are unaware how many more children will become eligible, the resulting uptake, resources required to deliver it or implementation dates. |

82. Assumptions

Describe any key assumptions made that underpin the justification for the option.

| Assumption | Reason why assumption is being made (evidence) | Decision Maker |
|---|--|----------------|
| That the take up of school meals increases and represents only a 7.5% reduction on pre-pandemic levels. | Meal take has increased since the full menus were introduced in October. | Pauline Batty |
| | | |
| | | |

83. Measuring and monitoring performance

How do you intend to measure the impact of this proposal? This will include budget measures and further possible measures that cover process, staff and customers. Targets need to be set over the duration of the proposal where appropriate.

| Focus - Budget/Process/Staff/Customer | Indicator | Target 2022/23 | Target 2023/24 | Target 2024/25 | Target 2025/26 |
|---------------------------------------|------------------------|----------------|----------------|----------------|----------------|
| Budget | Budget is cost neutral | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

84. Additional considerations:

| Question | Y/N | Comments/Impact |
|---|-----|-----------------|
| Will this proposal require procurement of goods, services or works? | N | |
| Will this proposal impact on the authorities built assets? | N | |
| Will this proposal present any collaboration opportunities? | N | |
| Will this project benefit from digital intervention? | N | |

| Reference | |
|-----------------------------|--|
| <u>CYP2</u> | Additional learning needs - Independent placements |
| <u>SCH1</u> | Children's services - pressures |
| <u>ENT2</u> | PTU staff and contracts |
| <u>ENT6</u> | Catering income |



| | |
|--|--|
| <p>Name of the Officer completing the evaluation Nikki Wellington</p> <p>Phone no: 07766504389</p> <p>E-mail: nicolawellington@monmouthshire.gov.uk</p> | <p>Please give a brief description of the aims of the proposal</p> <p>CYP2 - To increase the Additional Learning Needs Budgets £957,017. This is to support pupils with additional learning needs within Monmouthshire. The budget pressure is detailed below.</p> <p>Independents - £276,693 / Out of County Placements £138,377 / Recoupment £243,942 / School Action Plus £298,002.</p> |
| <p>Name of Service area Additional Learning Needs and Finance</p> | <p>Date 15th December 2021</p> |

Page 1 of 30

Are your proposals going to affect any people or groups of people with protected characteristics? Please explain the impact, the evidence you have used and any action you are taking below.

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------------|--|---|--|
| Age | The proposal will ensure that the current financial demand is maintained and will allow all pupils currently requiring support that support to continue. The proposal will support pupils up to the age of 18. | As there is a finite budget for MCC this funding will need to be found from within the overall budget and may result in budgets being reduced in other areas. | All budget impacts will be carefully considered to assess the impact on overall services. Members will be fully informed to enable them to make the necessary decisions. |
| Disability | The proposal will ensure that any pupil with a disability who is currently being supported can continue to have their needs met. The budget is forecast using the data for pupils currently known about and their current needs. | As there is a finite budget for MCC this funding will need to be found from within the overall budget and may result in budgets being reduced in other areas. | All budget impacts will be carefully considered to assess the impact on overall services. Members will be fully informed to enable them to make the necessary decisions. |

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|----------------------------------|--|--|--|
| Gender reassignment | Neutral | | |
| Marriage or civil partnership | Neutral | | |
| Pregnancy or maternity | Neutral | | |
| Race | Neutral. | | |
| Religion or Belief | Neutral | | |
| Sex | Neutral | | |
| Sexual Orientation | Neutral | | |

2. The Socio-economic Duty and Social Justice

The Socio-economic Duty requires public bodies to have due regard to the need to reduce inequalities of outcome which result from socio-economic disadvantage when taking key decisions This duty aligns with our commitment as an authority to Social Justice.

| | Describe any positive impacts your proposal has in respect of people suffering socio economic disadvantage | Describe any negative impacts your proposal has in respect of people suffering socio economic disadvantage. | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|--|--|
| Socio-economic Duty and Social Justice | The funding will support pupils who have been identified as having additional learning needs and requiring resources to help them achieve their full potential. All families regardless of their social economic situation will be treated equally. | All pupils will be treated equally. | |

3. Policy making and the Welsh language.

| How does your proposal impact on the following aspects of the Council's Welsh Language Standards: | Describe the positive impacts of this proposal | Describe the negative impacts of this proposal | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts |
|---|---|---|--|
| Policy Making Effects on the use of the Welsh language, Promoting Welsh language Treating the Welsh language no less favourably | No impact | | |
| Operational Recruitment & Training of workforce | If additional staffing resources are required to support pupils this will have a positive impact on recruitment and training opportunities for staff. | If staff are no longer required to support a pupil this may lead to redundancies. | All protection of employment policies will be followed, and all other employment opportunities will be sort. |
| Service delivery Use of Welsh language in service delivery Promoting use of the language | No impact | | |

4. Does your proposal deliver any of the well-being goals below? Please explain the impact (positive and negative) you expect, together with suggestions of how to mitigate negative impacts or better contribute to the goal. There's no need to put something in every box if it is not relevant!

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|--|--|--|
| <p>A prosperous Wales Efficient use of resources, skilled, educated people, generates wealth, provides jobs</p> | <p>The proposal will allow pupils to access placements that can meet their needs. The proposal ensures that the current pressure for these costs is reflected in the budgets for 2022-23. With the increased budget for Monmouthshire schools many pupils will be able to access provision at their local school with resources being provided to support.</p> <p>The placement charges to other Local Authorities have increased to reflect the actual costs and these will rise in line with inflation.</p> <p>If the needs of pupils cannot be met from within our own school's placements will be sort externally to meet their needs.</p> | |
| <p>A resilient Wales Maintain and enhance biodiversity and ecosystems that support resilience and can adapt to change (e.g. climate change)</p> | <p>It is envisaged that pupils should not have to travel long distances to access education which will lead to a reduction in transport costs and the impact on the environment.</p> | |
| <p>A healthier Wales People's physical and mental wellbeing is maximized and health impacts are understood</p> | <p>Pupils will be able to access placements that can meet their needs, this will allow them to work to the best of their ability in the best environment for them. If this is in their local school, they will be able to stay in their local community.</p> | |
| <p>A Wales of cohesive communities Communities are attractive, viable, safe and well connected</p> | <p>Where appropriate pupils will be able to access provision at their local school should their needs be met there.</p> | |
| A globally responsible Wales | | |

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|--|--|
| Taking account of impact on global well-being when considering local social, economic and environmental wellbeing | | |
| A Wales of vibrant culture and thriving Welsh language Culture, heritage and Welsh language are promoted and protected. People are encouraged to do sport, art and recreation | Monmouthshire has two Welsh Medium Primary schools and if the needs of the pupil can be met in that school environment pupils will be able to attend. All local schools promote the use of the Welsh Language and Welsh Culture. | |
| A more equal Wales People can fulfil their potential no matter what their background or circumstances | The placement will be suitable to meet the needs of the pupil allowing them to fulfil their potential. | |

Age 3

5. How has your proposal embedded and prioritised the sustainable governance principles in its development?

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|---|
|  Long Term | <p>Balancing short term need with long term and planning for the future</p> <p>There has been considerable pressure on the Additional Learning Needs budget in recent years, by supporting this pressure it will allow schools to provide early intervention and support the pupils through their education.</p> <p>This should lead to less pupils needing to be educated in placements outside Monmouthshire and provide a stable school environment where they can learn with their peers.</p> | |

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|--|
|  Collaboration | Working together with other partners to deliver objectives There are many partners that work together to support pupils with Additional Learning Needs, Education Psychologist and Health for example. By maintaining pupils in their local environment these professionals will be able to provide advice and support and gain a good understanding of the needs of the pupil and their families. | |
|  Involvement | Involving those with an interest and seeking their views All schools are aware of the process to seek additional support for pupils where required. The work closely with the Local Authority to ensure that the pupil has the best placement to meet their needs. | Where the needs of a pupil cannot be met from a local school several partners including the school and parents work together to agree on the best placement for that pupil. The budget pressure has been provided to meet these placement costs. |
|  Prevention | Putting resources into preventing problems occurring or getting worse The early intervention should ensure that needs are met at an early age and allow the pupil to be supported for them to meet their potential. Should there be a requirement for that pupil to access specialist provision the budget has been afforded to allow this. | |
|  Integration | Considering impact on all wellbeing goals together and on other bodies The wellbeing of the pupil is at the centre of this, where their needs are met this will impact on the wellbeing of the families, school peers, local communities and other partners that provide support. | |

6. Council has agreed the need to consider the impact its decisions have on the following important responsibilities: Corporate Parenting and Safeguarding. Are your proposals going to affect any of these responsibilities?

| | Describe any positive impacts your proposal has | Describe any negative impacts your proposal has | What will you do/ have you done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------|---|--|---|
| Safeguarding | Schools have very robust safeguarding policies in place to protect pupils. All of these are regularly reviewed by the Local Authority and the Governing Body. These policies are visible to parents. This proposal does not seek to change any of this. | None | All staff are fully trained in these policies and they receive regular update training. All staff have the necessary safeguarding checks in place before they are employed. |
| Corporate Parenting | Schools are aware of all LAC in their school, again they have clear policies to support these pupils, this proposal does not seek to change any of this. | None | All staff are fully trained in these policies and they receive regular update training. |

7. What evidence and data has informed the development of your proposal?

Additional Learning Needs data
Month 6 2021-22 financial forecast
Current forecasting data for 2022-23.

8. SUMMARY: As a result of completing this form, what are the main positive and negative impacts of your proposal, how have they informed/changed the development of the proposal so far and what will you be doing in future?

Pupils that are currently supported will be able to continue with their support. The financial forecast also includes a contingency to support pupils moving into Monmouthshire or having additional learning need that require support being identified.

9. ACTIONS: As a result of completing this form are there any further actions you will be undertaking? Please detail them below, if applicable.

| What are you going to do | When are you going to do it? | Who is responsible |
|---|------------------------------|--------------------|
| Assess the impact on budgets | June 2022 | Nikki Wellington |
| Consider future ALN budget requirements | September 2022 | Nikki Wellington |
| | | |
| | | |

Page 80

10. VERSION CONTROL: The Equality and Future Generations Evaluation should be used at the earliest stage, such as informally within your service, and then further developed throughout the decision making process. It is important to keep a record of this process to demonstrate how you have considered and built in equality and future generations considerations wherever possible.

| Version No. | Decision making stage | Date considered | Brief description of any amendments made following consideration |
|-------------|-----------------------|--------------------|--|
| 1.0 | Initial draft | 15th December 2021 | None. |
| | | | |
| | | | |



Integrated Impact Assessment document

(incorporating Equalities, Future Generations, Welsh Language and Socio Economic Duty)

| | |
|---|--|
| Name of the Officer Jane Rodgers/Ty Stokes | Please give a brief description of the aims of the proposal |
| Phone no: E-mail: jenerodgers@monmouthshire.gov.uk | SCH1 - Pressure with the Children's budget due to increased demand, with an increase in more complex cases 2021/22 with resultant knock on cost increases for staffing and legal costs. |
| Name of Service area Children's Services | Date 24 th December 2021 |

Page

3. Are your proposals going to affect any people or groups of people with protected characteristics? Please explain the impact, the evidence you have used and any action you are taking below.

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------------|---|--|---|
| Age | Will ensure that financial resources are made available to provide services for children under the age of 18 to access care | Without further investment the Children's budget will remain in an overspend situation | Review of care provision, ensuring the best services at the right price |
| Disability | Help to maintain services to meet their personal outcomes | Without further investment the Children's budget will remain in an overspend situation | Review of care provision, ensuring the best services at the right price |

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|----------------------------------|--|--|--|
| Gender reassignment | Ensure service provision meets the needs of children under gender reassignment | | |
| Marriage or civil partnership | | | |
| Pregnancy or maternity | | | |
| Race | | | |
| Religion or Belief | | | |
| Sex | | | |
| Sexual Orientation | | | |

2. The Socio-economic Duty and Social Justice

The Socio-economic Duty requires public bodies to have due regard to the need to reduce inequalities of outcome which result from socio-economic disadvantage when taking key decisions This duty aligns with our commitment as an authority to Social Justice.

| | Describe any positive impacts your proposal has in respect of people suffering socio economic disadvantage | Describe any negative impacts your proposal has in respect of people suffering socio economic disadvantage. | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|---|--|--|--|
| Socio-economic Duty and Social Justice | Having financial resources available will give those children the best possible start in life, giving the support required to achieve goals and aspirations afforded to other children in society. | | |

3. Policy making and the Welsh language.

| How does your proposal impact on the following aspects of the Council's Welsh Language Standards: | Describe the positive impacts of this proposal | Describe the negative impacts of this proposal | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts |
|--|--|--|--|
| Policy Making Effects on the use of the Welsh language, Promoting Welsh language Treating the Welsh language no less favourably | Careful consideration is given as to allowing children that require our support to be able to access in the Welsh language | | |
| Operational  Recruitment & Training of workforce | When assessing the requirements for recruitment, all posts are considered as to the ability to speak/knowledge of the Welsh language | | |
| Service delivery Use of Welsh language in service delivery Promoting use of the language | When publicising services they are on both the media of English and Welsh | | |

4. Does your proposal deliver any of the well-being goals below? Please explain the impact (positive and negative) you expect, together with suggestions of how to mitigate negative impacts or better contribute to the goal. There's no need to put something in every box if it is not relevant!

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|--|---|
| A prosperous Wales Efficient use of resources, skilled, educated people, generates wealth, provides jobs | Positive - Ensure there is adequate budgetary provision to meet the needs of the children population within Monmouthshire. | |
| A resilient Wales Maintain and enhance biodiversity and ecosystems that support resilience and can adapt to change (e.g. climate change) | | |
| A healthier Wales People's physical and mental wellbeing is maximized and health impacts are understood | Positive - Ensure we can support the needs of the children that require Local Authority provision to best meet not only their needs but give them to best possible start and chance in their future. | Establish services and practices that underpin delivery and a balanced approach to meet the child and service needs, and meet the core principles of the Authority. |
| A Wales of cohesive communities Communities are attractive, viable, safe and well connected | Positive – Wherever possible and feasible assist children to remain within their communities. | Recruitment and marketing to grow our in-house foster care provision, establishing of more local partnership arrangements and securing local care provision so we can provide local services. |
| A globally responsible Wales Taking account of impact on global well-being when considering local social, economic and environmental wellbeing | Positive - Helps to better develop communities and support services users to remain in their communities for longer | |
| A Wales of vibrant culture and thriving Welsh language Culture, heritage and Welsh language are promoted and protected. People are encouraged to do sport, art and recreation | Positive - Offer all the support requires to children to thrive in all areas, especially sport, art and recreational activities | Work with all groups and our internal partners especially Leisure colleagues to have a positive impact on children we support. |

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|--|---|
| A more equal Wales People can fulfil their potential no matter what their background or circumstances | Positive - Focus on supporting all children to achieve what matters to them and access services to achieve their personal goals and outcomes | |

5. How has your proposal embedded and prioritised the sustainable governance principles in its development?

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|--|--|---|
|  Long Term | Balancing short term need with long term and planning for the future Offering a support package to remain within their Community where appropriate. If services are not available locally, to try and reduce the need to move out of the Community or retain links. | |
|  Collaboration | Working together with other partners to deliver objectives With care providers, Health, third sector and voluntary organisations, as well as internal partners to meet the needs of children. | |
|  Involvement | Involving those with an interest and seeking their views Help to secure services being received. | |

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|---|
|  Prevention Putting resources into preventing problems occurring or getting worse | To promote health and well-being, independence and a sense of purpose and achievement. | |
|  Integration Considering impact on all wellbeing goals together and on other bodies | A more cohesive approach to delivering care with the child at the centre. | |

6. Council has agreed the need to consider the impact its decisions has on the following important responsibilities: Corporate Parenting and Safeguarding. Are your proposals going to affect any of these responsibilities?

| | Describe any positive impacts your proposal has | Describe any negative impacts your proposal has | What will you do/ have you done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------|--|--|---|
| Safeguarding | At the forefront when working with our child population | . | |
| Corporate Parenting | Ensure we can carry out our duties under Corporate Parenting effectively | | |

7. What evidence and data has informed the development of your proposal?

• Demand data
• Current cases
• Activity data for 2021/22 and prior
• 2021/22 month 6 forecast

8. SUMMARY: As a result of completing this form, what are the main positive and negative impacts of your proposal, how have they informed/changed the development of the proposal so far and what will you be doing in future?

- Positive – will allow for financial resources to be matched with the service provision required, mainly driven by legal requirement.
- Negative – the cost of the proposal is predicated on the continuation of current short-term funding from Health and Welsh Government. Should the current funding streams cease, the financial cost of the proposal will increase.

9. ACTIONS: As a result of completing this form are there any further actions you will be undertaking? Please detail them below, if applicable.

| What are you going to do | When are you going to do it? | Who is responsible |
|--------------------------|------------------------------|--------------------|
| | | |
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10. VERSION CONTROL: The Equality and Future Generations Evaluation should be used at the earliest stage, such as informally within your service, and then further developed throughout the decision making process. It is important to keep a record of this process to demonstrate how you have considered and built in equality and future generations considerations wherever possible.

| Version No. | Decision making stage | Date considered | Brief description of any amendments made following consideration |
|-------------|-----------------------|-----------------|--|
| 47 | | | |
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|--|---|
| Name of the Officer completing the evaluation Debra Hill-Howells Phone no: 01633 644281 E-mail: debrahill-howells@monmouthshire.gov.uk | Please give a brief description of the aims of the proposal ENT2 - Seek additional funding to offset revenue pressure within the PTU service. The pressure has arisen as a result of increased operational costs, increased passenger numbers, increased contractor costs and loss of grant funding streams and private hire income. |
| Name of Service area Fleet & Facilities | Date 11 th January 2022 |

Page 4

1. Are your proposals going to affect any people or groups of people with protected characteristics? Please explain the impact, the evidence you have used and any action you are taking below.

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------------|---|---|---|
| Age | The proposal will ensure the continued grassroutes and home to school transport services. | None | |
| Disability | The Grass routes and service routes undertaken by PTU are wheelchair accessible. Where specific needs are identified for passengers on home to school transport the transport provision will meet the necessary requirements including wheelchair accessibility and passenger assistants. | None | |

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|----------------------------------|--|--|--|
| Gender reassignment | .None | None | |
| Marriage or civil partnership | None | None | |
| Pregnancy or maternity | None | None | |
| Race | .None | None | |
| Religion or Belief | <i>None</i> | None | |
| Sex | None | None | |
| Sexual Orientation | <i>None</i> | None | |

2. The Socio-economic Duty and Social Justice

The Socio-economic Duty requires public bodies to have due regard to the need to reduce inequalities of outcome which result from socio-economic disadvantage when taking key decisions This duty aligns with our commitment as an authority to Social Justice.

| | Describe any positive impacts your proposal has in respect of people suffering socio economic disadvantage | Describe any negative impacts your proposal has in respect of people suffering socio economic disadvantage. | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|--|---|--|--|
| | | | |

| | | | |
|---|--|------|------|
| Socio-economic Duty and Social Justice | <i>Should the pressure mandate be approved we will be able to continue to provide community transport, which provides low cost access to transport for rural communities and those without their own means of transport.</i> | None | None |
|---|--|------|------|

3. Policy making and the Welsh language.

| How does your proposal impact on the following aspects of the Council's Welsh Language Standards: | Describe the positive impacts of this proposal | Describe the negative impacts of this proposal | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts |
|---|---|---|--|
| Policy Making Effects on the use of the Welsh language, Promoting Welsh language Treating the Welsh language no less favourably | <i>Neutral</i> | <i>Neutral</i> | |
| Operational Recruitment & Training of workforce | When recruiting drivers and passenger assistants we will advise that welsh language skills are desirable. | Given that there is a shortfall of qualified drivers we are unable to limit the recruitment process to welsh speakers only as we have a statutory duty to provide home to school transport. | We will work with colleagues to identify opportunities for welsh language courses for PTU staff. |
| Service delivery Use of Welsh language in service delivery Promoting use of the language | Application forms, publications and communications can be undertaken through the medium of welsh. | | |

4. Does your proposal deliver any of the well-being goals below? Please explain the impact (positive and negative) you expect, together with suggestions of how to mitigate negative impacts or better contribute to the goal. There's no need to put something in every box if it is not relevant!

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|---|
| A prosperous Wales Efficient use of resources, skilled, educated people, generates wealth, provides jobs | The proposal will ensure that services can continue to be delivered within a local context and communities have access to transport for local services. | |
| A resilient Wales Maintain and enhance biodiversity and ecosystems that support resilience and can adapt to change (e.g. climate change) | The PTU operations team have started a fleet transition process. They are currently running 1 EV minibus and are intending to procure 2 further EV minibuses in 22/23, subject to funding availability. Home to school transport also reduces the number of school journeys undertaken by personal vehicles | Where possible home to school routes are aggregated to minimize the number of vehicle movements. Effective route planning for grass routes trips ensures that the number of vehicles travelling is minimized. |
| A healthier Wales People's physical and mental wellbeing is maximized and health impacts are understood | Neutral | |
| A Wales of cohesive communities Communities are attractive, viable, safe and well connected | The transport provided allows young people to access education and the community transport enables community members to access local services, towns and villages ensuring that communities remain accessible and connected. | |
| A globally responsible Wales Taking account of impact on global well-being when considering local social, economic and environmental wellbeing | Route planning is undertaken to ensure that vehicular movements are limited. Vehicles are well maintained to ensure their longevity and reduce their environmental impact. | |
| A Wales of vibrant culture and thriving Welsh language Culture, heritage and Welsh language are promoted and protected. People are encouraged to do sport, art and recreation | Neutral | |

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|---|
| A more equal Wales People can fulfil their potential no matter what their background or circumstances | Home to school and community transport remove barriers that prevent young people and community members accessing educational and social facilities. Community transport is subsidised, home to school transport is either free or subsidized. | |

5. How has your proposal embedded and prioritised the sustainable governance principles in its development?

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|--|---|--|
|  Long Term | Balancing short term need with long term and planning for the future | Long term planning is factored into fleet replacement and maintenance decisions. Replacement vehicles where financially viable will be ULEV. |
|  Collaboration | Working together with other partners to deliver objectives | THE PTU service works in collaboration with schools. Community transport works with its users and stakeholders to ensure that the service being provided meets their needs and is sustainable. |

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|--|---|---|
|  Involvement | <p>Involving those with an interest and seeking their views</p> <p>The proposal relates to mitigating the increasing costs of providing home to school which is a legal requirement and community transport. We have worked with the finance and education departments and have used demand patterns to predict the future needs. The PTU Commissioning Team also work closely with external operators to understand operating costs and market capacity.</p> | |
|  Prevention | <p>Putting resources into preventing problems occurring or getting worse</p> <p>The proposal seeks additional revenue to manage increasing demand and operating costs for home to school and community transport.</p> | |
|  Integration | <p>Considering impact on all wellbeing goals together and on other bodies</p> <p>The proposal seeks additional funding to offset increased operating costs of external operators and in-house provision to ensure that we comply with our statutory duty to provide home to school transport. In addition it will enable the Council to continue to operate community transport for its local communities who can use it to access local services.</p> | |

6. Council has agreed the need to consider the impact its decisions has on the following important responsibilities: Corporate Parenting and Safeguarding. Are your proposals going to affect any of these responsibilities?

| | Describe any positive impacts your proposal has | Describe any negative impacts your proposal has | What will you do/ have you done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------|---|---|---|
| Safeguarding | Neutral – safeguarding is a core component of PTU services. | <i>.Safeguarding is about ensuring that everything is in place to promote the well-being of children and vulnerable adults, preventing them from being harmed and protecting those who are at risk of abuse and neglect</i> | |
| Corporate Parenting | Transport for LAC is arranged by the PTU Commissioning services, this proposal will not impact on the provision of this service | | |

7. What evidence and data has informed the development of your proposal?

- Financial data
- Pupil data
- Tender returns from external contractors
- Grassroutes passenger data
- Vehicle replacement and maintenance costs

8. SUMMARY: As a result of completing this form, what are the main positive and negative impacts of your proposal, how have they informed/changed the development of the proposal so far and what will you be doing in future?

The proposal is a pressure mandate seeking additional funding in order to offset increasing operating costs and passenger demand. Where possible costs are mitigated either by providing transport in-house if this is a cheaper solution than external provision and seeking ways to aggregate routes and minimize the number of vehicular movements

9. ACTIONS: As a result of completing this form are there any further actions you will be undertaking? Please detail them below, if applicable.

| What are you going to do | When are you going to do it? | Who is responsible |
|--------------------------|------------------------------|--------------------|
| | | |
| | | |
| | | |

Page 56

10. VERSION CONTROL: The Equality and Future Generations Evaluation should be used at the earliest stage, such as informally within your service, and then further developed throughout the decision making process. It is important to keep a record of this process to demonstrate how you have considered and built in equality and future generations considerations wherever possible.

| Version No. | Decision making stage | Date considered | Brief description of any amendments made following consideration |
|-------------|-----------------------|-----------------|--|
| | | | |
| | | | |
| | | | |



| | |
|---|---|
| Name of the Officer completing the evaluation Debra Hill-Howells Phone no: 01633 644281 E-mail: debrahill-howells@monmouthshire.gov.uk | Please give a brief description of the aims of the proposal ENT6 - Seek additional funding to offset revenue pressure within the catering service due to a decrease in uptake and increased consumable costs. |
| Name of Service area Catering | Date 11 th January 2022 |

1. Are your proposals going to affect any people or groups of people with protected characteristics? Please explain the impact, the evidence you have used and any action you are taking below.

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|-------------------------------|---|---|---|
| Age | None | None | |
| Disability | None | None | |
| Gender reassignment | None | None | |
| Marriage or civil partnership | None | None | |
| Pregnancy or maternity | None | None | |

| Protected Characteristics | Describe any positive impacts your proposal has on the protected characteristic | Describe any negative impacts your proposal has on the protected characteristic | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
|----------------------------------|--|--|--|
| Race | .None | None | |
| Religion or Belief | <i>None</i> | None | |
| Sex | None | None | |
| Sexual Orientation | <i>None</i> | None | |

2. The Socio-economic Duty and Social Justice

The Socio-economic Duty requires public bodies to have due regard to the need to reduce inequalities of outcome which result from socio-economic disadvantage when taking key decisions This duty aligns with our commitment as an authority to Social Justice.

| | | | |
|---|---|--|--|
| Page 58 | Describe any positive impacts your proposal has in respect of people suffering socio economic disadvantage | Describe any negative impacts your proposal has in respect of people suffering socio economic disadvantage. | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts? |
| Socio-economic Duty and Social Justice | The school catering service provides access to subsidized school meals and free school meals. If the funding is agreed this will enable us to meet a shortfall in revenue as a result of reduced damage due to the changes in service provision during the pandemic. Free school meals for all infant pupils will commence in September 2022 and all junior schools from September 2023 and we await more details from Welsh Government as to the funding of this universal service will be provided. | None | <i>None</i> |

3. Policy making and the Welsh language.

| How does your proposal impact on the following aspects of the Council's Welsh Language Standards: | Describe the positive impacts of this proposal | Describe the negative impacts of this proposal | What has been/will be done to mitigate any negative impacts or better contribute to positive impacts |
|---|---|--|---|
| Policy Making Effects on the use of the Welsh language, Promoting Welsh language Treating the Welsh language no less favourably | <i>Neutral</i> | <i>Neutral</i> | |
| Operational Recruitment & Training of workforce | When recruiting staff we will advise that welsh language skills are desirable. | | We will work with colleagues to identify opportunities for welsh language courses for those that wish to develop their welsh language skills. |
| Service delivery Use of Welsh language in service delivery Promoting use of the language | The service can be accessed through the medium of welsh in accordance with Council and Welsh Language guidelines. | | |

4. Does your proposal deliver any of the well-being goals below? Please explain the impact (positive and negative) you expect, together with suggestions of how to mitigate negative impacts or better contribute to the goal. There's no need to put something in every box if it is not relevant!

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|--|
| A prosperous Wales Efficient use of resources, skilled, educated people, generates wealth, provides jobs | Whilst a revenue shortfall has been identified due to reduced demand, it is expected that this will increase substantially when free school meals are introduced although the funding mechanism is as of yet unclear. We need to ensure that we are able to retain existing staff and increase the teams in line with projected demand. | |
| A resilient Wales Maintain and enhance biodiversity and ecosystems that support resilience and can adapt to change (e.g. climate change) | Local supply chains are supported where procurement rules allow | |
| A healthier Wales People's physical and mental wellbeing is maximized and health impacts are understood | All meals are scratch cooked and meet the schools healthy eating agenda requirements. Specific dietary needs are also catered for. | |
| A Wales of cohesive communities Communities are attractive, viable, safe and well connected | Neutral | |
| A globally responsible Wales Taking account of impact on global well-being when considering local social, economic and environmental wellbeing | Neutral | |
| A Wales of vibrant culture and thriving Welsh language Culture, heritage and Welsh language are promoted and protected. People are encouraged to do sport, art and recreation | Neutral | |

| Well Being Goal | Does the proposal contribute to this goal? Describe the positive and negative impacts. | What actions have been/will be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|---|
| A more equal Wales People can fulfil their potential no matter what their background or circumstances | There will be opportunities for colleague progression and recruitment of new staff. | |
| | | |

5. How has your proposal embedded and prioritised the sustainable governance principles in its development?

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|---|---|--|
|  Long Term | Balancing short term need with long term and planning for the future | The decline in school meal take up was due to the schools requirements for meals to be served in classrooms which limited the menu that we were able to offer. As meals are now being served in dining halls, take up has increased and we expect it to substantially increased when free school meals are introduced. |
|  Collaboration | Working together with other partners to deliver objectives | We are working collaboratively with the WLGA and neighbouring authorities with menu design, evaluation and procurement. |

| Sustainable Development Principle | Does your proposal demonstrate you have met this principle? If yes, describe how. If not explain why. | Are there any additional actions to be taken to mitigate any negative impacts or better contribute to positive impacts? |
|--|---|---|
|  Involvement | <p>Involving those with an interest and seeking their views</p> <p>We are working collaboratively with users and suppliers and seek views of specialist providers and parents.</p> | |
|  Prevention | <p>Putting resources into preventing problems occurring or getting worse</p> <p>The proposal seeks additional revenue to maintain the existing service provision as we transition to the new free school agenda being introduced by Welsh Government.</p> | |
|  Integration | <p>Considering impact on all wellbeing goals together and on other bodies</p> <p>The proposal ensures that the service is in the best position to adapt to the new proposals by retaining its existing staffing base and maintaining the cost of paid for meals at existing levels.</p> | |

6. Council has agreed the need to consider the impact its decisions has on the following important responsibilities: Corporate Parenting and Safeguarding. Are your proposals going to affect any of these responsibilities?

| | Describe any positive impacts your proposal has | Describe any negative impacts your proposal has | What will you do/ have you done to mitigate any negative impacts or better contribute to positive impacts? |
|---------------------|--|--|---|
| Safeguarding | Neutral | .Neutral | |
| Corporate Parenting | Neutral | Neutral | |

7. What evidence and data has informed the development of your proposal?

Financial data
Meal take up data
Supplier costs
WG policy
WLGA information
Collaborative working with neighbouring authorities

8. SUMMARY: As a result of completing this form, what are the main positive and negative impacts of your proposal, how have they informed/changed the development of the proposal so far and what will you be doing in future?

The proposal is a pressure mandate seeking additional funding in order to offset increasing demand and supplier costs through the provision of additional cleaning staff and a change to the existing structure to enable decisions to be made at a local level.

9. ACTIONS: As a result of completing this form are there any further actions you will be undertaking? Please detail them below, if applicable.

| What are you going to do | When are you going to do it? | Who is responsible |
|--------------------------|------------------------------|--------------------|
| | | |
| | | |
| | | |

10. VERSION CONTROL: The Equality and Future Generations Evaluation should be used at the earliest stage, such as informally within your service, and then further developed throughout the decision making process. It is important to keep a record of this process to demonstrate how you have considered and built in equality and future generations considerations wherever possible.

Page 6 of 6

| Version No. | Decision making stage <small>e.g. budget mandate, DMT, SLT, Scrutiny, Cabinet etc</small> | Date considered | Brief description of any amendments made following consideration |
|-------------|--|-----------------|--|
| | | | |
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| | | | |